SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden 0.5 hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	dress of Reporting	Person [*]	2. Issuer Name and Ticker or Trading Symbol Veritex Holdings, Inc. [VBTX]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
WILLIAM	FALLON			X Director 10% Owner			
(Last) 8214 WESTC	(First) CHESTER DR. 1	(Middle) SUITE 800	3. Date of Earliest Transaction (Month/Day/Year) 01/03/2023	Officer (give title Other (specify below) below)			
,			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)			
(Street) DALLAS	ТХ	75225		X Form filed by One Reporting Person Form filed by More than One Reporting			
(City)	(State)	(Zip)		Person			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	01/03/2023		М		454	Α	\$ <mark>0</mark>	13,039	D	
Common Stock								3,000	Ι	IRA

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative 3A. Deemed Execution Date. 6. Date Exercisable and Expiration Date 7. Title and Amount of 3. Transaction Date 5. Number 8. Price of Derivative 9. Number of 11. Nature 10. 2. Conversion Transaction of derivative Ownership of Indirect Code (Instr. 8) Securities Underlying Derivative Security Beneficial Ownership (Instr. 4) Security (Instr. 3) or Exercise Price of (Month/Day/Year) if any (Month/Day/Year) Derivative (Month/Day/Year) Security (Instr. 5) Securities Form: Direct (D) Beneficially Owned Securities Derivative Acquired or Indirect (A) or Disposed Following Reported Security (Instr. 3 and 4) (I) (Instr. 4) Transaction(s) (Instr. 4) of (D) (Instr. 3, 4 and 5) Amount or Number Date Expiration of ٧ (A) (D) Date Title Shares Code Exercisable Restricted Commo (1) 01/03/2023 454 (2) (2) 454 0 D Μ \$<mark>0</mark> Stock Unit Stock

Explanation of Responses:

1. Each restricted stock unit ("RSU") represents a right to receive at settlement one share of common stock of the Company.

2. The reporting person was granted 1,813 restricted stock units, vesting in four equal installments on the first calendar day following the end of each quarter during fiscal year 2022.

Remarks:

C. Malcolm Holland	
** Signature of Reporting Person	

01/05/2023 Date

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.